#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lars KARLSSON, Wai-Ping LEUNG, Per A. PETERSON and

Christopher ALFONSO

Serial No.: Not Assigned Art Unit: 1632

Filed: 28 February 2002 Examiner: BAKER, A.

For: H2-O MODIFIED TRANSGENIC ANIMALS

Assistant Commissioner for Patents Box: PATENT APPLICATION Washington, D.C. 20231

#### PRELIMINARY AMENDMENT

Sir:

Applicants respectfully request the Examiner to enter the following Preliminary Amendment.

#### **AMENDMENT**

## In the Specification:

At page 1, line 3, the RELATED APPLICATIONS section is amended to read as follows:

"This application is a continuation of copending application serial number 09/516,390, filed 1 March 2000, which is a continuation-in-part of application serial number 09/250,898 filed 16 February 1999, now abandoned, which is a non-provisional application of provisional application serial number 60/074,847, filed 17 February 1998".

## In the Claims:

Claims 1 and 2 are cancelled.

## New claims 3 through 8 are added as follows:

- 3. A transgenic mouse whose somatic and germ cells comprise a disruption in an endogenous H2-Oa gene, wherein disruption is generated by targeted replacement with a non-functional H2-Oa gene, and wherein said disruption results in said mouse having an increase in the amount of serum IgG1 at 10 months of age as compared to wild-type H2-Oa mice.
- 4. The mouse of claim 3, wherein said mouse is fertile and transmits the non-functional H2-Oa gene to its offspring.
- 5. The mouse of claim 3, wherein the non-functional H2-Oa gene has been introduced into an ancestor of the mouse at an embryonic stage by microinjection of altered embryonic stem cells into mouse blastocysts.
- 6. A method for producing a transgenic mouse whose somatic and germ cells comprise a disruption in an endogenous H2-Oa gene, wherein said disruption is generated by targeted replacement with a non-functional H2-Oa gene, said method comprising:
  - a) introducing a H2-Oa gene targeting construct comprising a selectable marker sequence into a mouse embryonic stem cell;
  - b) introducing said mouse embryonic stem cell into a mouse blastocyst;
  - c) transplanting said blastocyst into a recipient mouse;
  - d) allowing said blastocyst to develop to term;
  - e) identifying a transgenic mouse whose genome comprises a disruption of an endogenous H2-Oa gene in at least one allele; and

f) breeding the mouse of step (e) to obtain a transgenic mouse whose genome comprises a homozygous disruption of the endogenous H2-Oa gene,

wherein said disruption results in said mouse having an increase in the amount of serum IgG1 by ten months of age as compared to wild-type H2-Oa mice.

- 7. The method of claim 6 wherein the introducing of step (a) is by electroporation or microinjection.
- 8. An isolated cell line derived from the transgenic mouse of claim 3.

Respectfully submitted,

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# **VERSION WITH MARKINGS TO SHOW CHANGES MADE**

# In the Specification:

At page 1, line 3, the RELATED APPLICATIONS section is amended to read as follows:

"This application is a continuation in part of application of co-pending application serial number 09/250,898, filed 16 February 1999, which is a non-provisional application of provisional application serial number 06/074,847, filed 17 February 1998, abandoned This application is a continuation of copending application serial number 09/516,390, filed 1 March 2000, which is a continuation-in-part of application serial number 09/250,898 filed 16 February 1999, now abandoned, which is a non-provisional application of provisional application serial number 60/074,847, filed 17 February 1998".

### In the Claims:

Claims 1 and 2 are cancelled.

New claims 3 through 8 are added as follows:

- 3. A transgenic mouse whose somatic and germ cells comprise a disruption in an endogenous H2-Oa gene, wherein disruption is generated by targeted replacement with a non-functional H2-Oa gene, and wherein said disruption results in said mouse having an increase in the amount of serum IgG1 at 10 months of age as compared to wild-type H2-Oa mice.
- 4. The mouse of claim 3, wherein said mouse is fertile and transmits the non-functional H2-Oa gene to its offspring.

- 5. The mouse of claim 3, wherein the non-functional H2-Oa gene has been introduced into an ancestor of the mouse at an embryonic stage by microinjection of altered embryonic stem cells into mouse blastocysts.
- 6. A method for producing a transgenic mouse whose somatic and germ cells comprise a disruption in an endogenous H2-Oa gene, wherein said disruption is generated by targeted replacement with a non-functional H2-Oa gene, said method comprising:
  - a) <u>introducing a H2-Oa gene targeting construct comprising a</u> <u>selectable marker sequence into a mouse embryonic stem cell;</u>
  - b) <u>introducing said mouse embryonic stem cell into a mouse</u> <u>blastocyst;</u>
  - c) transplanting said blastocyst into a recipient mouse;
  - d) <u>allowing said blastocyst to develop to term;</u>
  - e) <u>identifying a transgenic mouse whose genome comprises a</u> <u>disruption of an endogenous H2-Oa gene in at least one allele; and</u>
  - f) breeding the mouse of step (e) to obtain a transgenic mouse whose genome comprises a homozygous disruption of the endogenous H2-Oa gene,

wherein said disruption results in said mouse having an increase in the amount of serum IgG1 by ten months of age as compared to wild-type H2-Oa mice.

- 7. The method of claim 6 wherein the introducing of step (a) is by electroporation or microinjection.
- 8. <u>An isolated cell line derived from the transgenic mouse of claim 3.</u>